



Banc Ceannais na hÉireann
Central Bank of Ireland

Eurosystem

Statement of Authorised Status

CAP Finance Investment Partners Limited t/a Cap Finance and Investments, Casa Finance (the firm) (Ref. No. C153039) is authorised as an investment business firm under Section 10 of the Investment Intermediaries Act, 1995 (as amended), to provide the services of an investment intermediary.

CAP Finance Investment Partners Limited t/a Cap Finance and Investments, Casa Finance is authorised to receive and transmit orders to product producers from whom a written letter of appointment is held, in relation to:

1. Shares in a company or bonds that are listed on a stock exchange, prize bonds
2. Relevant collective investment scheme instruments
3. Tracker bonds
4. Insurance policies
5. Personal Retirement Savings Accounts (within the meaning of the Pensions Act, 1990) (PRSAs)

CAP Finance Investment Partners Limited t/a Cap Finance and Investments, Casa Finance is also authorised to act as a deposit broker and to give investment advice in relation to deposits.

When receiving and transmitting orders in relation to insurance policies and/or PRSAs, the firm may only accept cash or cheques/bank drafts from clients payable to itself where:

- (i) an insurance undertaking has invited renewal of a policy of insurance, or
- (ii) a proposal for insurance and/or PRSAs has been accepted by an insurance undertaking.

In all other circumstances cheques/bank drafts or other payment instruments must be made payable to the product producer.

For and on behalf of the Central Bank of Ireland:

Signed: Wayne Meavey

Signed: Sean Hawaiah

